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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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08/31/00

A

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 003918.P012X

First Inventor or Application Identifier Wenbin Jiang

Title METHOD AND APPARATUS FOR PARALLEL OPTICAL

Express Mail Label No. EL466333248US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202311.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)2.  Specification [Total Pages 41]  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 7]4. Oath or Declaration [Total Pages 4]

- a.  Newly executed (original copy)
- b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR §§ 1.63(d)(2) and 1.33(b).

C 095 U.S. PTO  
09/651140  
08/30/00

## ACCOMPANYING APPLICATION PARTS

- 7.  Assignment Papers (cover sheet & document(s))
- 8.  37 C.F.R. § 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
- 9.  English Translation Document (if applicable)
- 10.  Information Disclosure Statement (IDS)/PTO - 1449  Copies of IDS Citations
- 11.  Preliminary Amendment
- 12.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- 13.  \*Small Entity Statement(s)  Statement filed in prior application,  
Status still proper and desired
- 14.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 15.  Other: .....

NOTE FOR ITEMS 1 &amp; 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09/321,308 &

Prior application Information: Examiner \_\_\_\_\_ Group/Art Unit: 09 / 320, 409

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or  Correspondence address below

Name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP				
Address	12400 Wilshire Boulevard, Seventh Floor				
City	Los Angeles	State	California	Zip Code	90025
Country	U.S.A.	Telephone	(714) 557-3800	Fax	(714) 557-3347

Name (Print/Type) William E. Axford, Reg. No. 37,764

Signature

Date 08/30/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)

567.00

## Complete if Known

Application Number	
Filing Date	August 30, 2000
First Named Inventor	Wenbin Jiang
Examiner Name	
Group/Art Unit	
Attorney Docket No.	003918.P012X



## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees to:  
 The Commissioner is hereby authorized to credit any over payments to:

Deposit Account Number  
Deposit Account Name

02-2666

Blakely, Sokoloff, Taylor &amp; Zafman LLP

Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

2.  Payment Enclosed:  
 Check  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	\$345.00				
106 310	206 155	Design filing fee					
107 480	207 240	Plant filing fee					
108 690	208 345	Reissue filing fee					
114 150	214 75	Provisional filing fee					
SUBTOTAL (1)			(\$)			345.00	

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
36	5	20 - 3 = 16	9.00 X 16 = \$144.00	
		3 - 2 = 1	39.00 X 1 = \$78.00	

\*or number previously paid, if greater. For Reissues, see below

## Large Entity Small Entity

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
103 18	203 9	Claims in excess of 20		
102 78	202 39	Independent claims in excess of 3		
104 130	204 130	Multiple Dependent claim, if not paid		
109 78	209 39	**Reissue independent claims over original patent		
110 18	210 9	**Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)			(\$)	222.00

## 3. ADDITIONAL FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath			
127 50	227 25	Surcharge - late provisional filing fee or cover sheet			
139 130	139 130	Non-English specification			
147 2,520	147 2,520	For filing a request for reexamination			
112 920*	112 920*	Requesting publication of SIR prior to Examiner action			
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action			
115 110	215 55	Extension for response within first month			
116 380	216 190	Extension for response within second month			
117 870	217 435	Extension for response within third month			
118 1,210	218 680	Extension for response within fourth month			
128 1,850	228 925	Extension for response within fifth month			
119 300	219 150	Notice of Appeal			
120 300	220 150	Filing a brief in support of an appeal			
121 260	221 130	Request for oral hearing			
138 1,510	138 1510	Petition to institute a public use proceeding			
140 110	240 55	Petition to revive - unavoidable			
141 1,210	241 605	Petition to revive - unintentional			
142 1,210	242 605	Utility issue fee (or reissue)			
143 430	243 215	Design issue fee			
144 580	244 290	Plant issue fee			
122 130	122 130	Petitions to the Commissioner			
123 50	123 50	Petitions related to provisional applications			
126 240	126 240	Submission of Information Disclosure Stmt			
581 40	581 40	Recording each patent assignment per property (times number of properties)			
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))			
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))			
Other fee (specify)					
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	William E. Alford	Reg. Number	37,764
Signature		Date	08/30/00
		Deposit Account User ID	02-2666

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